



Enhanced Competency Framework (ECF) on Retail Wealth Management Training and Examination Schedule – 2020

Q1 Training



- IA CPD

- HKIB CPD¹

- SFC CPT

- WAM Programme²

Module Name	Module No	Code	Date	Time	Language (with English materials)	Application Deadline ⁵	Fee ³ (HK\$)
Regulatory Environment for Banking and Financial Planning (Credit: 20)	1	HQRM001PP200101	7, 14, 21 Jan 2020 (Tue); 31 Jan 2020 (Fri); 7 Feb 2020 (Fri)	19:00 – 22:00	Cantonese	27 Dec 2019 (Fri)	3,900
Investment Planning (Credit: 20)	2	HQRM002PP200102	9 Jan 2020 (Thu); 15, 22, 29 Jan 2020 (Wed); 5 Feb 2020 (Wed)	19:00 – 22:00	Cantonese	2 Jan 2020 (Thu)	3,900
Essentials of Banking (Credit: 20)	3	HQRM003PP200201	3, 10, 17 Feb 2020 (Mon); 3, 10 Mar 2020 (Tue)	19:00 – 22:00	Cantonese	23 Jan 2020 (Thu)	3,900
		HQRM003PP200204	3, 10, 17 Feb 2020 (Mon)	09:00 – 12:00; 14:00 – 17:00 on 3 & 10 Feb 09:00 – 12:00 on 17 Feb 2020	Cantonese	23 Jan 2020 (Thu)	3,900
Insurance and Retirement Planning (Credit: 20)	4	HQRM004PP200301	9, 16, 23, 30 Mar 2020 (Mon); 6 Apr 2020 (Mon)	19:00 – 22:00	Cantonese	2 Mar 2020 (Mon)	3,900
Investment and Asset Management: Product Solutions (Credit: 20)	5	HQRM005PP200203	21, 28 Feb 2020 (Fri); 6, 13, 20 Mar 2020 (Fri)	19:00 – 22:00	Cantonese	14 Feb 2020 (Fri)	3,900
Investment and Asset Management: Alternatives and Wealth Solutions (Credit: 20)	6	HQRM006PP200202	6, 20 Feb 2020 (Thu); 5 Mar 2020 (Thu); 21, 28 Mar 2020 (Sat)	19:00 – 22:00 on 6, 20 Feb & 5 Mar 14:00 – 17:00 on 21, 28 Mar 2020	Cantonese	30 Jan 2020 (Thu)	3,900
Financial Planning and Wealth Management (Credit: 20)	7	HQRM007PP200302	17, 24, 31 Mar 2020 (Tue); 7, 14 Apr 2020 (Tue)	19:00 – 22:00	Cantonese	10 Mar 2020 (Tue)	3,900

Q1 - Q2 Examination

Module Name	Module No	Code	Date	Time	Application Deadline ⁵	Fee ² (HK\$)
Regulatory Environment for Banking and Financial Planning (Credit: 20)	1	EXAM-2019-00378	15 Apr 2020 (Wed)	19:00 – 21:30	16 Mar 2020 (Mon)	1,060
Investment Planning (Credit: 20)	2	EXAM-2019-00379	15 Apr 2020 (Wed)	19:00 – 21:30	16 Mar 2020 (Mon)	1,060
Essentials of Banking (Credit: 20)	3	EXAM-2019-00307	10 Jan 2020 (Fri)	19:00 – 21:30	11 Dec 2019 (Wed)	1,060
		EXAM-2019-00308	12 Feb 2020 (Wed)	19:00 – 21:30	13 Jan 2020 (Mon)	1,060
		EXAM-2019-00309	12 Mar 2020 (Thu)	19:00 – 21:30	13 Feb 2020 (Thu)	1,060
		EXAM-2019-00372	21 Apr 2020 (Thu)	19:00 – 21:30	20 Mar 2020 (Fri)	1,060
		EXAM-2019-00373	14 May 2020 (Thu)	19:00 – 21:30	15 Apr 2020 (Wed)	1,060
Insurance and Retirement Planning (Credit: 20)	4	EXAM-2019-00320	19 Mar 2020 (Thu)	19:00 – 21:30	20 Feb 2020 (Thu)	1,060
		EXAM-2019-00462	20 Nov 2020 (Fri)	19:00 – 21:30	21 Oct 2020 (Wed)	1,060
Investment and Asset Management: Product Solutions (Credit: 20)	5	EXAM-2019-00303	13 Jan 2020 (Mon)	19:00 – 21:30	16 Dec 2019 (Fri)	1,060
		EXAM-2019-00305	19 Feb 2020 (Wed)	19:00 – 21:30	20 Jan 2020 (Mon)	1,060
		EXAM-2019-00362	15 Apr 2020 (Wed)	19:00 – 21:30	16 Mar 2020 (Mon)	1,060
		EXAM-2019-00363	21 May 2020 (Thu)	19:00 – 21:30	22 Apr 2020 (Wed)	1,060
Investment and Asset Management: Alternatives and Wealth Solutions (Credit: 20)	6	EXAM-2019-00306	26 Feb 2020 (Wed)	19:00 – 21:30	29 Jan 2020 (Wed)	1,060
		EXAM-2019-00304	26 Mar 2020 (Thu)	19:00 – 21:30	27 Feb 2020 (Thu)	1,060
		EXAM-2019-00375	6 Apr 2020 (Mon)	19:00 – 21:30	6 Mar 2020 (Fri)	1,060
		EXAM-2019-00376	6 May 2020 (Wed)	19:00 – 21:30	7 Apr 2020 (Tue)	1,060
Financial Planning and Wealth Management (Credit: 20)	7	(Multiple Choice) EXAM-2019-00310	27 Mar 2020 (Fri)	20:45 - 22:00	28 Feb 2020 (Fri)	1,060 ⁶
		(Case & Oral) ⁴ EXAM-2019-00311	29 Mar 2020 (Sun)	TBC	28 Feb 2020 (Fri)	
		(Multiple Choice) EXAM-2019-00393	26 Jun 2020 (Fri)	20:45 - 22:00	27 May 2020 (Wed)	1,060 ⁶
		(Case & Oral) ⁴ EXAM-2019-00394	28 Jun 2020 (Sun)	TBC	27 May 2020 (Wed)	

Special Note:

- According to the accreditation of HKCAAVQ on "Professional Certificate for ECF on Retail Wealth Management (RWM), an average learner is expected to spend 3 to 4 months to complete the respective training and examination of individual modules.
- Learners are required to enrol in and complete the training before attempting the examination.




Programme Details:

Venue: 3/F Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong (Sheung Wan MTR Station Exit C) (unless other venue specified in training class confirmation / examination attendance notice)

Enrolment: By fax at (852) 2544 9946 or via Email at application@hkib.org or submit to our institute in person or by mail

Note:

1. HKIB CPD includes CPD for CB (Stage I), CB (Stage II), CB, CFMP, ARWP, CRWP and ACsP designation holders.
2.  The programme has been included in the list of reimbursable courses for WAM pilot programme. For WAM pilot programme applicants, 80% of the course fee (examination fee excluded) will be reimbursed upon meeting the 80% attendance requirement. For more details, please visit: www.wamtalent.org.hk.
3. HKIB student members can enjoy 25% off training fee discount and 50% off examination fee discount respectively.
4. Exam candidates of M7 Case & Oral will be informed of the exam time via Exam Attendance Notice. Exam candidates are recommended to reserve the day.
5. A late entry fee of HK\$200 will apply for late training and examination enrolment respectively.
6. The re-sitting examination fees for M7 Multiple Choice and M7 Case & Oral are HK\$1,060 respectively.
7. HKIB reserves the right to cancel, modify, and/or postpone the training and/or examination.

For Enquiries:

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